

## IN THE UNITED STATES PATENT AND TRADEMARK

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attorney's Docket No.: SiliconStor-02US

## FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of: Sam Nemazie

For: "SWITCHING SERIAL ADVANCED TECHNOLOGY ATTACHMENT (SATA) TO A  
PARALLEL INTERFACE"

## ENCLOSURES

- ☒ 53 page application including specification, claims and abstract;
- ☒ 14 sheets, Figs. 1a-c,d(i)-(viii),2a-b,3a-b,4-6,7a-c,8a-b,9,10a-c,11a-b,12(i)-(viii) of informal drawings;
- ☒ a Declaration, Power of Attorney & Petition, executed
- ☒ a postcard for return to us as proof of receipt of the above documents.

## And

- ☒ an Assignment of the invention with an assignment cover sheet, executed;
- ☐ Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b))
- ☐ IDS (form PTO-1449) and copies of references;
- ☐ an associate power of attorney;
- ☐ a certified copy of the priority document (Under 35 USC 119) is enclosed
- ☒ Check no. 1089 in the amount of \$675.00
- ☒ Other (Request and Certification Under 35 U.S.C. 122(b)(2)(B)(I))
- ☒ The subject small business concern, SiliconStor, Inc., qualifies as a small business concern as defined in 13 CFR 121.318, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

## TYPE OF FILING

- ☒ This application claims the benefit of an earlier filed U.S. Patent Application Serial No. 60/477,857, filed June 11, 2003. (35 USC 120).
- ☐ This application claims the benefit of the priority date of an earlier filed \_\_\_\_\_ application (35 USC 119).
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

**CERTIFICATE OF MAILING (37 CFR 1.10(a))**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 as Express Mail No.EL 919843762 US addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: February 9, 2004

  
Erika Villafana

**FEE CALCULATION**

The filing fee has been calculated as shown below:

|  |    |               | SMALL ENTITY | OR    | OTHER THAN A<br>SMALL ENTITY |     |
|--|----|---------------|--------------|-------|------------------------------|-----|
| BASIC FEE Design Patent                        |    |               | \$155        | \$    | \$0                          | \$  |
| BASIC FEE Utility Patent                       |    |               | \$385        | \$385 | \$750                        | \$  |
| EXTRA FEES                                     |    |               | RATE         | FEE   | RATE                         | FEE |
| Total claims                                   | 43 | minus 20 = 23 | x9 =         | \$207 | x18 =                        | \$  |
| Independent Claims                             | 3  | minus 3 = 0   | X43          | \$    | X84 =                        | \$  |
| <input type="checkbox"/> Multiple Dep. Claim   |    |               | +130 =       | \$    | +0 =                         | \$  |
| <input checked="" type="checkbox"/> Assignment |    |               | +40 =        | \$40  | +40 =                        | \$  |
| <input type="checkbox"/> Rule 53 Surcharge     |    |               | +65 =        | \$    | +0 =                         | \$  |
| TOTAL  |    |               |              | \$632 |                              |     |

**FEE PAYMENT**

- ☒ Attached is Check No. 1089 the sum of \$675.00 to cover the filing fee.  
☐ Please charge Account No. \_\_\_\_\_ the sum of \$AMOUNT

**FEE DEFICIENCY**

- ☐ Please charge Account No. \_\_\_\_\_ in the sum of \$AMOUNT  
☒ The Commissioner is authorized to charge (or credit any overpayment) to deposit account No. 501638:  
     ☒ Any additional filing fees required under 37 CFR 1.16, except Rule 53 filings, which will be paid within the time permitted by PTOL 1533.  
     ☐ Assignment Recordal fees.  
☒ The filing fee and surcharge under 37 CFR 1.16, patent application processing fees under 37 CFR 1.17 and patent issue fees under 37 CFR 1.18 are intended to be paid by our firm as they arise. As no abandonment is intended by any inadvertent nonpayment of fees, the Commissioner is hereby authorized to charge payment of such fees as from time to time come due, if not paid prior to due date to our Deposit Account No. 501638.  
☐ A duplicate copy of this sheet is enclosed.

Dated: February 9, 2004

Respectfully submitted;



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**IN THE UNITED STATES AND PATENT AND TRADEMARK**Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney's Docket No.: SiliconStor-02US

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**

|                           |  |
|---------------------------|--|
| First Named Inventor      | Sam Nemazie  |
| Title                     | "SWITCHING SERIAL ADVANCED TECHNOLOGY ATTACHMENT (SATA)<br>TO A PARALLEL INTERFACE-" |
| Attorney Docket<br>Number | SiliconStor-02US   |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 9, 2004

Date

  
Signature

Maryam Imam

Typed or printed name